

TITLE OF THE INVENTION

**METHOD FOR NANOMACHINING HIGH ASPECT RATIO STRUCTURES**

CROSS-REFERENCE TO RELATED APPLICATIONS

5 This application claims priority from U.S. provisional application serial number  
60/224,730 filed on August 11, 2000, incorporated herein by reference.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

OR DEVELOPMENT

Not Applicable

REFERENCE TO A COMPUTER PROGRAM APPENDIX

Not Applicable

BACKGROUND OF THE INVENTION

1. Field of the Invention.

This invention pertains generally to nano-machining, and more specifically to nano-machining precise structures with a high ratio of thickness to feature size (also known as a high "aspect ratio").

20 2. Description of the Background Art.

The need to machine structures of ever-decreasing dimensions is driven by many factors. One factor is that many materials at reduced dimensions exhibit unique

physical properties that can be utilized effectively. An example is a quantum device.

Another factor is that greatly improved performance can be obtained from precisely

machined fine structures. For example, sensors and detectors with better sensitivity

can be developed with structures of reduced dimensions. Furthermore, sufficiently

5 miniaturized devices may have unique applications, such as medical implant and

surgical devices. Additionally, the integration and dense packing of many miniature

devices in one enclosure is often required to improve device performance in

functionality or compactness.

Heretofore, several techniques have been used in the fabrication of precise

10 structures with small features. Each technique has its own unique application with its

associated limitations. For example, conventional electron or focused ion beam

lithography is both capable of fabricating precise structures with feature sizes as small

as 10 nm over a large area, but the aspect ratio of the nano-structures is limited to less

than five due mostly to the difficulty of transferring the pattern generated by electron

beam writing machines to the desired material. X-ray lithography is used to make

precise nano-structures with an improved aspect ratio compared to the e-beam or

focused ion beam lithography but the small feature size is more limited. For an aspect

ratio of ten, the achievable feature size is closer to 100 nm. The recently developed

15 lithographie galvanoformung abformung (LIGA) technique is capable of producing

20 microstructures with an aspect ratio as high as several hundred, but there the smallest

feature size is limited to approximately 2  $\mu\text{m}$ .

One particular application requiring high aspect ratio nano-structures is the fabrication of zone plate lenses that comprise sets of concentric rings whose width decreases linearly with distance from the center. The rings are often made of alternating open slots and solid material. In fact, the rings do not need to be continuous, and the open slots can be solid material rings containing many holes and the solid material can be many dots.

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Zone plates are among the most promising lenses being developed for x-ray applications. In the soft x-ray spectral region (roughly 0.1-1 keV), zone plates with the smallest zone width of approximately 20 nm and aspect ratios up to approximately five have been made using e-beam lithography and are being used for various imaging techniques. Zone plates have been used to produce images with a spatial resolution of approximately 25 nm. In fact, the spatial resolution is the best obtained in any imaging microscope using electromagnetic radiation, e.g., from extreme ultraviolet to visible light. Theory shows that the best resolution obtainable with a zone plate is equal to the outermost zone width, which is the smallest. Therefore, the ability to make precise zone plate structures with smaller zones thus improves directly the spatial resolution.

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However, the zone plate structure must have an adequate thickness in order to achieve an optimal focusing efficiency.

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Although a sputtering/slicing technique which, in principle, is capable of producing high aspect ratio zone plates with spatial resolution approaching 10 nm, was proposed long ago, it has not reached its goal, and nearly all zone plates in use today with a spatial resolution better than 100 nm for soft x-ray (energy < 1 keV) are fabricated

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using various forms of an electron beam lithographic technique. For applications to x-ray energy greater than 1 keV, the aspect ratio required for optimal focusing efficiency increases with x-ray energy and the fabrication method successfully used for soft x-ray zone plates (electron beam lithography) can't be directly used for producing zone plates

5 of high resolution and high focusing efficiency for higher energy applications. Higher energy x-rays are often necessary for nondestructive imaging and examination of large objects or objects containing sufficiently large fraction of high atomic number elements.

For example, a minimum x-ray energy of approximately 5 keV is required to have sufficient transmission through a 12-micron thick integrated circuit made of approximately 30% Cu and low atomic number elements. For 8 keV x-ray applications and assuming Au is the zone construction material, which yields close to the minimum aspect ratio required for a given focusing efficiency, a zone-plate needs to have an aspect ratio of approximately sixteen, forty eight, and one hundred sixty for the outermost zone width to be approximately 100 nm, 33 nm, and 10 nm, respectively. These required aspect ratios significantly exceeds the capabilities of the fabrication techniques hitherto, especially for the smaller outermost zone widths. The problem is worse for x-ray energies greater than 8 KeV.

Therefore, there is a need for a method for fabricating precise high aspect ratio nanometer structures. The present invention satisfies that need, as well as others, and  
20 overcomes limitations in conventional fabrication methods.

## BRIEF SUMMARY OF THE INVENTION

In general terms, the present invention is a method for "nano-machining via particle-track-guided-etching of precise patterns". The invention combines (i) the precise nanostructure-patterning capability of electron beam lithography with (ii) the 5 high aspect ratio nano-machining capability of a particle track etching method that employs a highly enhanced etching rate along particle tracks, which is analogous to machining by a drill bit of a nanometer sized diameter. More specifically, a lithographically generated pattern is used as a negative or positive mask defining etching areas, and the particle track etching method etches the unmasked areas along the directions guided by the particle tracks. The advantages of the invention for producing high aspect ratio nanostructures may also rest on the high mechanical strength of the wafer materials (e.g., insulators or semiconductors) compared to the organic resists used in conventional lithography techniques.

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By way of example, and not of limitation, in accordance with the present invention a wafer, such as an insulator or semiconductor, is irradiated with a particle beam of suitable energy to break the chemical bonds of the material (e.g., radiation damage) and having a predetermined collimation at a desired direction with respect to the wafer surface. This step produces particle tracks for guiding the high aspect ratio nano-machining by particle track etching that will take place in a later processing step. 20 Next, a thin layer of suitable patterning material such as e-beam resist is deposited on one side of the wafer, and an etch-stop layer of suitable material for preventing the etching of the particle tracks by the chemical solution used in a later step may be coated

on the other side of the wafer. A desired pattern is then generated in the patterning material (e.g., e-beam resist) on the top surface of the irradiated wafer using conventional precise pattern generation techniques (e.g., electron or ion beam lithography), such that the patterning material is removed in selective areas thereby exposing the wafer surface. Removal of the patterning material would typically be carried out by etching via a chemical solution or reactive ion or other established technique. For example, the wafer would be placed in an appropriate chemical solution that etches along the direction(s) of the particle tracks over the areas that are not covered by the patterning material.

The etch rate along the particle tracks can be more than one-thousand times faster than the etch rate of materials not within the immediate vicinity of the particle tracks. The etched particle track area may have a diameter as small as 5 nm and a length as long as many thousand nm along the direction(s) of the particle tracks, depending on the wafer material, the charge, mass, and energy of the particles, and the etchant and the etching conditions. For example, the type and strength of the etching chemicals and etching time determines the length and diameter of the particle tracks for a given wafer material.

The structure in the area not covered by the patterning material after the etching process may contain isolated holes or closely connected holes thereby producing continuous lines, depending on the wafer material, particle density used in the irradiation process and etching conditions. The etched high aspect ratio precise structure in the wafer is one form of the final product. It can also be used for furthering

processing such as a negative for molding via electroforming.

The present invention can be used to manufacture components for a wide range of applications, including miniaturized electromechanical devices and optical components. For example, it overcomes the main technical problems in fabricating 5 high-resolution and high-focusing-efficiency zone plates and other optics for x-ray applications, namely, fabricating high aspect ratio nanostructures with precise zone plate pattern. This is achieved by using the process of the nanomachining via particle-track-guided-etching of precise patterns. Therefore, the invention disclosed here can be applied to produce zone plates with both high spatial resolution and focusing efficiency.  
10 For example, the invention can be used to fabricate zone plates with zone width as small as 5 nm with an aspect ratio up to one thousand and with a diameter several millimeters. Such zone plates can be used for many imaging and focusing applications for x-ray energies up to 70 keV with high spatial resolution and high focusing efficiency. Other x-ray optical components such as gratings and resolution test standards can also 15 be made using the process of the nanomachining via particle-track-guided-etching of precise patterns. The invention can also be used as a machining method for removing gross areas of materials. Additionally, the invention can be used for machining three-dimensional products.

Further advantages of the invention will be brought out in the following portions of 20 the specification, wherein the detailed description is provided for the purpose of fully disclosing preferred embodiments of the invention without placing limitations thereon.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be more fully understood by reference to the following drawings, which are for illustrative purposes only, wherein like reference numbers denote like elements.

5 FIG. 1A through FIG. 1E is a flow diagram illustrating, in cross-section, a wafer undergoing the inventive process.

## DETAILED DESCRIPTION OF THE INVENTION

Referring more specifically to the drawings, for illustrative purposes the present invention is embodied in the method generally shown in FIG. 1A through FIG. 1E. It will be appreciated that the specific steps and sequence of the method may vary without departing from the basic concepts as disclosed herein.

In general terms, the present invention comprises a particle-track-guided-etching method for nanomachining a precise structure with a high aspect ratio. The structure is defined by a precise masking pattern that is generated on a wafer using conventional lithographic patterning techniques. FIG. 1A through FIG. 1E illustrates in cross-section a wafer 10 being processed according to an embodiment of the method of the present invention.

Step 1. Referring first to FIG. 1A, the method begins by irradiating wafer 10, which may comprise an insulator or semiconductor, with an energetic charged particle beam 12 of predetermined collimation and direction with respect to the surface of the wafer. The passage of the particles through the material generates a strong electromagnetic field that breaks chemical bonds within its immediate vicinity along the

particle tracks 14. The particle beam can be generated in a conventional manner, such as by removing some or all electrons from neutral atoms by an accelerator, or can be in the form of alpha particles emitted from a radioactive source. Most insulators or semiconductors as suitable materials for forming particle tracks can be found in P. B.

- 5 Price and R. M. Walker, "Chemical Etching of Charged-Particle Tracks in Solids", Journal of Applied Physics, Vol. 33, No. 12, pp. 3407-3412, Dec. 1962, incorporated herein by reference. Etchable tracks can be formed in bulk inorganic crystals and certain glasses and high polymers. Generally speaking, the materials must be insulators or weak semiconductors with resistivity above approximately  $10^4$  ohm.cm. Therefore, metals or silicon or germanium would not typically be suitable materials for particle etching. The range of useful resistivity is wider for thin films, although thin films may not be suitable for most products. Preferred materials, having well-controlled shapes and engineerable characteristics, include quartz crystals, silica glasses, and mica.

10 While bond strengths between atoms in a solid are typically on the order of 5 eV, the particle beam energy is preferably much higher. In general, the requirement is a sufficient number of ion pairs per unit track length that the ions will penetrate the wafer to the desired depth and preferably all of the way through the wafer. It will be appreciated that mass and particle charge will affect penetration depth. For example, 15 for substrates that are a few microns thick, the energy should be at least approximately 0.5 MeV to approximately 2.5 MeV per nucleon for ions between argon and krypton. For 5 micron thick mica, 100 MeV argon ions is the preferred energy level.

The line of the radiation-damaged material can then be preferentially etched by suitable chemical solutions, thus providing the mechanism of particle-track-guided etching. While the depth of the particle tracks can be controlled by adjusting the particle beam energy level, the final length of the etched tracks can also be controlled by the  
5 etching solutions and etching conditions. One such example is that the etched tracks are complete through-holes from the irradiated surface to an etch stop layer on the opposite surface.

The density of the particle tracks, as well as the etching solution and etching conditions, can be controlled to make the tracks essentially collapse during etching or form isolated holes. This allows deep etching of very small areas (e.g., as small as 5 nm in diameter) with near vertical walls. The density of the particle tracks is proportional to the product of the flux of the particle beam and the irradiation time. For example, for a track separation of 20 nm where the etched holes will merge, 40 holes per micron of length would be sufficient. The total exposure (total fluence) would be 1600 ions per square micron ( $1.6 \times 10^{11}$  ions per square cm). A particle accelerator can easily generate  $1.6 \times 10^{19}$  singly-charged ions per second over a few square mm with one ampere of current can easily provide that level of exposure.  
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Therefore, parameters for determining the final structure include the density of the particle tracks, the etching solution, and the etching conditions for a given wafer  
20 material.

It will also be appreciated that particle track density and preciseness is affected by beam dispersion. Therefore, the particle beam is preferably collimated and directed

to the surface of the wafer with a desired orientation. For example, by orienting the wafer in relation to the particle beam or vice versa, the direction of the beam could be perpendicular to the surface of the wafer or at some angle less than ninety degrees in relation to the plane of the surface to form particle tracks that are aligned substantially parallel to each other. Alternatively, the particle beam can be oriented and/or collimated in such a way that the particle tracks are extended so that they intercept at a substantially small point, a condition that can be realized when the particles originate from a point source or an effective point source via focusing. Other orientations and beam divergence properties can be used as well.

**Step 2.** Referring to FIG. 1B, a layer of suitable patterning material 16, such as electron beam (e-beam) resist or other organic resist material, is then deposited on the irradiated side 18 of the wafer 10. The layer of patterning material can be deposited in any conventional manner, such as by spinning or vacuum coating, and can comprise a single layer or a multilevel layer. In the case of a multilevel layer, which can improve the aspect ratio of electron beam lithography, the sublayers can be of the same material or dissimilar materials. In all cases, the layer of resist material should be suitable for producing an etching pattern and be structurally stable during subsequent etching.

The patterning material is designed for both producing the desired pattern and acting as a mask for the subsequent processing steps, especially the particle track  
20 etching step.

**Step 3.** A layer of etch stop 20 is then coated on the side 22 of the wafer that is opposite to the side of the wafer onto which the particles are incident. The purpose of

the etch stop is to ensure the etchant only etches from the side of the wafer where the etching pattern 24 is formed as described in Step 4 below.

Step 4. Referring to FIG. 1C, a desired pattern 24 is then generated on the irradiated side 18 of wafer 10 using conventional precise pattern generation techniques such as electron beam lithography. For example, the pattern can be written using an electron beam writing machine or the like. It will be appreciated that this step results in portions of the pattern forming result material being selectively removed such that a precise pattern for etching is produced. Different from the conventional e-beam lithography, the resist layer must be adequately stable during subsequent processing, namely etching, only the areas of the wafer that are not covered by the precise pattern of resist material will be etched.

Step 5. Lastly, referring to FIG. 1D, the wafer 10 is placed in an appropriate chemical solution that etches out the particle tracks 14 only in the areas 26 that are not covered by the pattern 24. Note that, due to the density of the particle tracks in the area to be etched, particle tracks essentially "collapse" to provide high aspect ratio etching.

It will be appreciated that the material that forms the pattern 24, namely e-beam resist 16 or the like, is suitably resistant to the etchant such that the particle tracks under it are not etched. By selecting the particle track density and etching conditions, a high aspect ratio nanostructure close to the pattern 24 may be produced. In many cases, such as the case of zone plates, the etched areas in the final product may contain only isolated holes instead of continuing lines similar to the pattern 24.

Typically, etching is carried out by immersing the wafer in an etching solution such as a solvent or the like to partially or completely transfer the etching pattern to the wafer with an aspect ratio substantially greater than that in the etching pattern.

Alternatively, plasma based etching or the like may be employed. Furthermore, in the 5 case of a multilevel layer where the sublayers are dissimilar materials, a combination of solvent and plasma based etching may be employed. Etching can be accomplished using established techniques, such as described in C. P. Bean, M. V. Doyle, and G. Entine, "Etching of Submicron Pores in Irradiated Mica", Journal of Applied Physics, Vol. 41, No. 4, pp. 1454-1459, 15 March 1970, incorporated herein by reference. Also see, P. B. Price and R. M. Walker, "Chemical Etching of Charged-Particle Tracks in Solids", Journal of Applied Physics, Vol. 33, No. 12, pp. 3407-3412, Dec. 1962, incorporated herein by reference.

Step 6. The structure formed after carrying out Step 5 (FIG. 1D) may be the final form of the desired product. Optionally, the structure of FIG. 1D can be used as a negative for a complementary final structure. In that case, subsequent processing, such as electroforming (e.g., electroplating), would be carried out to form the final structure 28 shown in FIG. 1E.

Where the structure shown in FIG. 1E is to be formed by electroforming, etch stop layer 20 can be an electroplating base layer and serve as the anode for 20 electroplating or the like. Alternatively, etch stop layer 20 can also act as an electroplating base layer, such as Au when the etching solution is suitably diluted HF acid and the wafer material is mica.

Accordingly, the present invention comprises a nanomachining process using particle-track-guided-etching of precise patterns generated by conventional lithography techniques to manufacture precise nanostructures over a large area with much higher aspect ratios than that are possible hitherto. The invention combines the capability of  
5 the precise nanostructure-patterning capability of the electron beam lithography technique with the high aspect ratio nano-machining capability of a particle track etching method. By employing the present invention, it should be possible to fabricate x-ray zone plates with a 10 nm spatial resolution and focusing efficiency of 30% or greater. In addition the present invention offers a pathway to zone plates with a blazed zone profile  
10 that can be used in the x-ray spectral region as a Fresnel lens is used in the visible light region. This would enable fabrication of Bragg-effect zone plates analogous to Bragg-effect holograms. In addition, the invention can be used for gross removal of areas of a material.

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In principle, a three-dimensional structure can be fabricated by repeating the nanomachining process described above. This may be accomplished by several methods. One method involves generating a structured mask that will expose a series of predetermined patterns of the particle tracks on the wafer surface. One design of this mask comprises one patterning material with desired predetermined thickness levels (e.g., a staircase) with each thickness level representing one of the predetermined  
20 patterns. By controlling processing time, each of the predetermined patterns suitable for etching can be revealed at the desired processing stage. The processing steps between the processing for making any two predetermined patterns suitable for particle

track etching may comprise only an etching the particle tracks to a predetermined depth (length) or a multiple-stage processing involving etching the particle tracks and electroplating of the etched tracks. Another design of the mask comprises mask patterns which are made of different materials that can be etched with suitable processes to reveal a desired mask pattern during the overall nanomachining processes with intermediate steps similar to those described above.

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Although the description above contains many specificities, these should not be construed as limiting the scope of the invention but as merely providing illustrations of some of the presently preferred embodiments of this invention. Therefore, it will be appreciated that the scope of the present invention fully encompasses other embodiments which may become obvious to those skilled in the art, and that the scope of the present invention is accordingly to be limited by nothing other than the appended claims, in which reference to an element in the singular is not intended to mean "one and only one" unless explicitly so stated, but rather "one or more." All structural, chemical, and functional equivalents to the elements of the above-described preferred embodiment that are known to those of ordinary skill in the art are expressly incorporated herein by reference and are intended to be encompassed by the present claims. Moreover, it is not necessary for a device or method to address each and every problem sought to be solved by the present invention, for it to be encompassed by the present disclosure is intended to be dedicated to the public regardless of whether the element, component, or method step is explicitly recited in the claims. No claim element herein is

to be construed under the provisions of 35 U.S.C. 112, sixth paragraph, unless the element is expressly recited using the phrase "means for."

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